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Raymond A. João

RJ251

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : RAYMOND A. JOAO

SERIAL NO.: 09/639,908

FILED : AUGUST 16, 2000

FOR : APPARATUS AND METHOD FOR PROVIDING FINANCIAL

INFORMATION AND/OR INVESTMENT INFORMATION

EXAMINER : J. BERGIN

GROUP : 3624

CONF. NO.: 3583

Assistant Commissioner for Patents

Box RCE

Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.97 and §1.98, Applicant respectfully requests that the documents listed on the attached INFORMATION DISCLOSURE STATEMENT BY APPLICANT form (Substitute for form 1449A/PTO) be made of record and be considered in connection with the examination of this application.

Copies of the cited references are transmitted herewith.

No fee is due with this Information Disclosure Statement as it is being filed before the mailing of a first Office Action on the merits in the above-identified continued examination application.

Entry of this Information Disclosure Statement is respectfully requested.

Respectfully Submitted,

Raymond A. Joan Reg. No. 35,907

Encls.: - INFORMATION DISCLOSURE STATEMENT BY APPLICANT form (Substitute for form 1449A/PTO) (1 sheet)
- Copies of sixteen (16) cited references

October 19, 2002

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